



FEDERAL FISH AND WILDLIFE PERMIT APPLICATION FORM
Migratory Bird and Eagle Scientific Collecting
(Migratory Bird Treaty Act, 50 CFR 21.21, 21.23; Eagle Act, 50 CFR 22.21)
U.S. Fish and Wildlife Service
Division of Migratory Bird Management



TYPE OF REQUEST

New Application

Renewal

Amendment

BEFORE FILLING OUT THIS FORM, please access the [Return Addresses](#) (to obtain the email/postal mail addresses where this form can be returned), review the [Frequently Asked Questions](#), and read the form [Instructions](#). Please retain the "NOTICES" page for your records.

If requesting a renewal or amendment, provide Permit # _____

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. **See instruction page(s) for information on how to make your application complete and help avoid unnecessary delays.**

A. Complete if applying as an individual			
1.a. Last name	1.b. First name	1.c. Middle name or initial	1.d. Suffix
2. Date of birth (mm/dd/yyyy)	3.a. Telephone number	3.b. Alternate telephone number	3.d. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information				
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)				
1.b. City	1.c. State	1.d. Zip code/Postal code:	1.e. County/Province	1.f. Country
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code:	2.e. County/Province	2.f. Country

D. All applicants MUST complete	
1. A nonrefundable processing fee is required to process this permit, please attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – Please see link for processing fee cost and fee exempt status as outlined in 50 CFR 13.11(d) . Click here for Processing Fees	
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? No <input type="checkbox"/> Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
Signature of applicant/Principal Officer (No stamped signatures. Electronic signatures accepted.)	Date of signature (mm/dd/yyyy)

Please continue to next page



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U.S. Fish and Wildlife Service
Division of Migratory Bird Management



NOTE: A Federal Migratory Bird Scientific Collecting permit can authorize you to collect, transport or possess migratory birds, their parts, nests, or eggs for scientific research or educational purposes. A scientific collecting permit may be valid for up to 3 years. You must be at least 18 years old to apply. You must be at least 18 years old to apply for a migratory bird permit. Please read "[Frequently Asked Questions](#)" and the pertinent regulations before you sign and submit your application.

Please provide the information requested below. If additional information needs to be provided that does not fit in the designated spaces, add additional sheets to your application submission. You may use as many additional sheets as needed. Please number pages accordingly using the page number box provided at the bottom of the sheet, and the corresponding question number.

You should be as thorough and specific as possible in your responses. Incomplete applications will be returned.

As the permittee, you are legally responsible for ensuring that your subpermittees, staff, and volunteers adhere to the terms of your permit.

SECTION E.

Questions

1) What is the purpose of your activity? (check all that apply)

- Scientific Research
- Specimen Collection (museum or institutional)
- Other (specify):

2) Attachments - In additions to this form, you should also attach the following to complete your application:

- a)** A statement of purpose and justification for the proposed research/collection. Include an outline of any research project(s). Describe how the research/collection will advance the science of (a) the species taken, (b) other wildlife species, (c) humans, or (d) archival collections.
- b)** Copies of any other permits related to the proposed activity (e.g. USGS Banding Permit, State Permit).
- c)** If required, state authorization for release to the wild.
- d)** Copies of any authorizations for access to state, federal, or tribal land.
- e)** Collecting Activity Table.
- f)** Institutional Animal Care and Use Committee (IACUC) approval.
- g)** If you are renewing your permit, include your current annual report with your renewal application. The annual report form may be found at the following website: <https://www.fws.gov/forms/3-202-1.pdf>

3) Any permit issued as a result of this application is not valid unless you also have any required State or tribal permits or approvals associated with the activity. Have you obtained all required State or tribal permits or approvals to conduct this activity?

Yes, Have Attached

None Required

4) What is your requested start date (month/year) (Typical application processing time is a minimum of 60 days)

5) What is your anticipated completion date (month/year) including collection and holding of birds.

6) Provide the physical locations for all components of your research: where bird(s) will be collected, held in captivity, released to the wild, etc.

7) Describe your methods. Include the information below as applicable.

- a) Trapping: explain your choice of trap, frequency of trap checks, and what, if any, lure you will use**
- b) Lethal Collection: explain why nonlethal methods do not meet your objectives**
- c) Euthanasia: describe your euthanasia method. For more information see the [American Veterinary Medical Association \(AVMA\) Guidelines for the Euthanasia of Animals](#)**
- d) Invasive Procedures: describe your methods and how you will minimize pain and stress**
- e) Captivity: describe where and how long birds will be kept in captivity. Include a description, dimensions, and/or photographs of permanent and transport enclosures. Include the diet and enrichment you will provide.**
- f) Release to the Wild: describe your choice of release location(s). Provide state authorization, if required. If held longer than 24 hours, describe how birds will be conditioned and evaluated for release.**
- g) Game Species: explain if collection will differ from hunting regulation(s)**
- h) IACUC: provide approval**

7) (continued)

8) To assist us in complying with the National Environmental Policy Act (NEPA), as much as possible describe any anticipated effects (positive or negative) to:

- a) Breeding birds or other seasonality concerns**
- b) Populations of Birds of Conservation Concern and bird species with small local populations**
- c) Potential disease transmission**
- d) Non-target species**
- e) Threatened or endangered species**
- f) Spread of invasive species**
- g) Other natural resources including natural lands and waters**
- h) Cultural resources including historical sites**
- i) Low income, minority and/or Native American populations**
- j) Public health and safety**

9) Describe in detail your experience in conducting the proposed activities. Include the source and duration of experience for each of the proposed activities. If you do not currently have experience in a proposed activity, identify who will be serving as a mentor. For example, if you are trapping, provide a copy of your USGS Bird Banding Lab permit or a letter of reference regarding your trapping experience from a Master Bander or other experienced trapper.

10) The name and address of the public scientific or educational institution(s) to which all migratory bird specimens will be donated upon completion of the study.

11) If you reside or are located outside of the United States: provide the name of a contact, address, and telephone number where you can be reached in the United States.

12) Are you requesting authorization to import/export? Yes No

If "yes", include the following:

- a) anticipated import/export dates and shipment(s),
- b) species, number, and type of specimen per shipment
- c) to and from whom the specimens will likely be shipped
- d) the U.S. port(s) of entry/exit you will use (see <https://www.fws.gov/le/designated-ports.html>).

(Note: You must use a Designated Port (see <http://www.fws.gov/le/ports-contact-information.html>) unless you obtain a Port Exception Permit from FWS Office of Law Enforcement. You may require additional permits for species protected by the Convention on International Trade in Endangered Species (CITES), the U.S. Endangered Species Act, or the Wild Bird Conservation Act. Live Bald or golden eagles or live eggs of those birds may not be imported or exported.

13) Anyone who will be assisting you with the permitted activities or acting as your agent must either have their own Federal migratory bird permit for the activity or be identified by you, in writing, as a subpermittee under your permit. They may also require a State permit. Subpermittees must be at least 18 years old. As the primary permittee, you will be responsible for ensuring that your subpermittees are properly trained and adhere to the terms of your permit. Provide the name of any subpermittees who will be conducting activities under your permit.

14) You must retain records legibly written or reproducible in English relating to the activities conducted under your permit for at least 5 years after the date of expiration of your permit. Is the physical address you provided in Section C on page 1 of this application the address where your records will be kept?

Yes

No If "no," provide the physical address.

15) Disqualification factor. Have you, the permittee, or your client (if a broker on behalf of your client):

- **Been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed (50 CFR 13.21(b) (1));**
- **Been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act. (50 CFR 13.21 (c)(1));**
- **Had a permit revoked within the last five years for willfully violating any Federal or State statute or regulation, or any Indian tribal law or regulation, or any law or regulation of any foreign country, which involves a violation of the conditions of the permit or of the laws or regulations governing the permitted activity (50 CFR 13.28 (a)(1)) or failing to correct deficiencies that were the cause of a permit suspension within 60 days (50 CFR 13.28 (a)(2)).**

Answer "yes" if ANY of the events listed immediately above have occurred. Answer "no" if none of the events listed immediately above have occurred.

Yes

No

If you answered "Yes", provide: a) the individual's name; b) date of conviction, civil penalty assessment or revocation; c) charge(s), or reason(s) for revocation; d) location of the incident; e) court (if applicable, ticket, federal/state/tribal court etc.); and f) legal action taken for each violation (i.e. fine, incarceration, probation...). Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

16) Are you eligible for Fee Exempt Status? Fee exempt status applies to government agencies (Federal, State, Tribal, and municipal governments). Applicants acting on behalf of such agencies must submit a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency, or the agencies tax exempt form.

Yes - Government Agency

Yes - Acting on behalf of Government Agency
Documentation Included

No - not eligible for Fee Exempt Status

17) I acknowledge that I have read the form [Instructions](#) and [Frequently Asked Questions](#), and have accessed the page with the [Return Addresses](#) to obtain the address where I should return this form. I have also filled out all fields and questions in this application. Check this box to acknowledge:

NOTICES

PRIVACY ACT STATEMENT

Authority: The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

Purpose: The collection of contact information is to verify the individual has an eligible permit to conduct activities that affect protected species. The information the individual provides helps the FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

Routine Uses: The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

Disclosure: The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

PAPERWORK REDUCTION ACT STATEMENT

In accordance with the Paperwork Reduction Act (44 U.S.C. 3501), the U.S. Fish and Wildlife Service collects information necessary to monitor take and disposition of migratory birds, under the applicable laws governing the requested activity, for which a permit is requested, and to respond to requests made under the Freedom of Information Act and the Privacy Act of 1974. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0022.

ESTIMATED BURDEN STATEMENT

We estimate public reporting for this collection of information to average 4 hours for the application and 1 hour for recordkeeping, including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: BPHC, Falls Church, VA 22041-3803, or via email at Info_Coll@fws.gov. Please do not send your completed form to this address.

FREEDOM OF INFORMATION ACT

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.26 – 2.33].

INSTRUCTIONS FOR COMPLETING COLLECTING ACTIVITY TABLE

Species

Identify the species you propose to collect. Use the scientific and common name(s) listed in 50 CFR 10.13. (See list at <https://www.fws.gov/birds/management/managed-species/migratory-bird-treaty-act-protected-species.php>)

State

Specify the state where you propose to collect the species. Enter only one state per row.

County or Site (If Known)

You may enter one or more counties, boroughs, or specific collection sites where the collection will occur per row. This block may be left blank.

Collection Period (Calendar Years)

If your project is a single year project, the collection period is "Year 1." If your project is a multiyear project and you intend to collect the same number of specimens annually, the collection period is "Annually." If your project is a multiyear project, the quantity of specimens will vary each year, and you will be collecting opportunistically each year, the collection period is "Permit Duration (3 years)." If your project is multiyear and the number of specimens will vary each year but you intend to collect a specific quantity of specimens each year, the collection period is "Year 1," "Year 2," and "Year 3," as needed; you will need to duplicate rows for that species.

Types of Collecting Activities

Do not overlap quantities; the quantities entered in the various types of collecting activities across each row must be mutually exclusive.

Lethal Collection

Enter the maximum quantity of specimens of that species that you propose to kill. This includes shooting or any other proposed method of lethal collection.

Viable Egg Collection

Enter the maximum quantity of eggs (believed to be viable) you plan to collect. If you plan to collect nests with eggs, estimate the maximum number of eggs per nest. This also includes viable eggs that are collected, incubated, and the hatchlings retained or released. Collection of eggs known to be nonviable is considered salvage and should not be included here.

Trap & Retain

Enter the maximum quantity of specimens of that species that you propose to live-trap and retain in captivity permanently (either life-long or euthanized upon completion of the study).

Trap & Release

Enter the maximum quantity of specimens of that species that you propose to live-trap and release at the capture site (e.g., after collection of samples or data). This may include prolonged holding prior to release.

Trap & Relocate

Enter the maximum quantity of specimens of that species that you propose to live-trap at the capture site and release at another location. This may include prolonged holding prior to release.

My Collecting Request Doesn't Fit Your Collecting Categories

Use the categories provided as much as possible; provide further clarification in your written outline, study proposal, or justification.

Maximum Annual Total Requested

Add the quantities per species from all types of collecting that you have listed; enter the sum in this column (e.g. [Maximum Annual Total Requested] = [Lethal Collection] + [Viable Egg Collection] + [Trap & Retain] + [Trap & Release] + [Trap & Relocate]). If your project is a multiyear project, the quantity of specimens will vary each year, and you will be collecting opportunistically each year, you may leave the Maximum Annual Total Requested block blank.

Total Quantity Requested

If your project is a single year project, the Total Quantity Requested is the same as the Maximum Annual Total Requested. If your project is a multiyear project and you intend to collect the same number of specimens annually, the Total Quantity Requested is three times the Maximum Annual Total Requested (e.g. [Total Quantity Requested] = 3 x [Maximum Annual Total Requested]). If your project is a multiyear project, the quantity of specimens will vary each year, and you will be collecting opportunistically each year, the Total Quantity Requested is the sum of all types of collecting that you have listed (e.g. [Maximum Annual Total Requested] = [Lethal Collection] + [Viable Egg Collection] + [Trap & Retain] + [Trap & Release] + [Trap & Relocate]). If your project is multiyear and the number of specimens will vary each year but you intend to collect a specific quantity of specimens each year, add the Maximum Annual Totals for Year 1, Year 2, and Year 3 (as applicable).